

LIBRARY SERVICES & TECHNOLOGY ACT GRANT

GUIDELINES

LSTA Application

dosgrants.com

Application Deadline

~~June 1, 2017~~ March 20, 2018

Florida Department of State
Division of Library and Information Services
R. A. Gray Building, 500 South Bronough Street
Tallahassee, Florida 32399-0250

Application Submission

Applications must be submitted on or before ~~June 1, 2017~~ March 20, 2018.

Applications must be submitted on the DOS Grants System at dosgrants.com.

For Assistance and Information

Contact Grants Staff:

850.245.6630 Voice

850.245.6643 Fax

850.922.4085 TDD

Email: grantsoffice@dos.myflorida.com

These Guidelines are also available electronically at: info.florida.gov/services-for-libraries/grants/lsta/guidelines-applications-and-forms and can be made available in alternative formats.

CONTENTS

GUIDELINES

I.	OVERVIEW.....	1
II.	DEFINITIONS	1
III.	ELIGIBILITY	4
IV.	TIMELINE	6
V.	APPLICATION SUBMISSION	7
VI.	APPLICATION.....	7
VII.	MATCHING FUNDS	10
VIII.	ASSISTANCE FROM THE DIVISION	11
IX.	TYPES OF PROJECTS.....	13
X.	FUNDING DECISION PROCESS	13
XI.	APPLICATION EVALUATION.....	17
	EVALUATION CRITERIA.....	19
XII.	GRANT ADMINISTRATION	21

LAW AND RULE

Chapter 257, <i>Florida Statutes</i>	27
Rule 1B-2.011, <i>Florida Administrative Code, Library Grant Programs</i>	28

LIBRARY SERVICES & TECHNOLOGY ACT GUIDELINES

I. OVERVIEW

The Florida Department of State, Division of Library and Information Services is the state library administrative agency officially designated to receive and administer federal grant funds from the Institute of Museum and Library Services.

The Library Services and Technology Act (LSTA); ~~is~~ is the major federal grant program for libraries; ~~was amended on December 22, 2010.~~ The LSTA program, administered by the Institute of Museum and Library Services, ~~LSTA~~:

- Is a state-based program.
- Has purposes which focus on information access through technology and information empowerment through special services.
- Emphasizes public libraries but encourages interlibrary cooperation and partnerships among all types of libraries.
- Increases emphasis on accountability and evaluation.
- Is authorized under 20 *United States Code* 9101 et seq, as amended (<http://uscode.house.gov/view.xhtml?path=/prelim@title20/chapter72&edition=prelim>), incorporated by reference. The general administrative requirements may be found in 45 *Code of Federal Regulations* Part 1183 (gpo.gov/fdsys/granule/CFR-2005-title45-vol3/CFR-2005-title45-vol3-part1183), incorporated by reference.

Florida's grant program is based on the purposes of LSTA and the needs of Florida residents and libraries. It is developed from the goals and outcomes provided in Florida's Library Services and Technology Act long-range plan, (dos.myflorida.com/media/698097/lstaplan2018-22.pdf), incorporated by reference. Grant projects must clearly fit in with both the LSTA purposes and priorities as listed in Section VIII IX and Florida's long-range plan.

II. DEFINITIONS

- **Audit** means the annual audit of the organization for that year, carried out in accordance with requirements of the federal Single Audit Act, 2 CFR 2, Code of Federal Regulations Part 200, Subpart F – Audit Requirements (gpo.gov/fdsys/pkg/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1-part200.pdf), incorporated by reference, and Section 215.97, Florida Statutes and generally accepted accounting principles, conducted within nine months of the close of the organization's fiscal year.
- **Catalog of Federal Domestic Assistance (CFDA)** is a government-wide compendium of Federal programs, projects, services and activities that provide assistance or benefits to the American public. It contains financial and nonfinancial assistance programs administered by departments and establishments of the Federal government.

The Library Services and Technology Act Grant Program CFDA number is 45.310.

- **Deliverable** means the quantifiable goods or services that must be provided in order to receive payment. Each deliverable must be connected with one or more activities identified and described in the Scope of Work. Deliverables, along with the Scope of Work, are included in the grant agreement. Deliverables must be agreed upon by the Division and the grant recipient. The deliverables will be developed by the grant applicant in the grant application for inclusion in the grant agreement but may be renegotiated by request of the Division.
- **Department** means the Florida Department of State.
- **Division** means the Division of Library and Information Services of the Florida Department of State.
- **Data Universal Number System (DUNS) Number.** The DUNS number is a nine-digit number established and assigned by Dun and Bradstreet Inc. (D&B) to uniquely identify business entities. It is a tool the federal government uses to track how federal money is distributed and is required for all organizations that receive federal funding. This number should be for your organization's governing body, which is the same organization that the Division signs a grant agreement with. Examples of governing bodies include county commissions, city councils and governing boards. Many organizations already have a DUNS number. If your organization does not have a DUNS number, one may be obtained free of charge from D&B by telephone at 866.705.5711 or ~~on the Internet~~ online at fedgov.dnb.com/webform.
- **Financial consequences** means the consequences that will be applied if the grant recipient fails to perform all activities outlined in the Scope of Work and/or fails to meet the deliverables outlined in the grant agreement. Per Section 287.058, *Florida Statutes*, the Division is required to specify a reduction in funding that will be applied if the subrecipient fails to perform all activities outlined in the Scope of Work and/or fails to meet the deliverables outlined in the grant agreement. Financial consequences are tied to deliverables and each payment.
- **Florida Accountability Contract Tracking System (FACTS)** is the State of Florida's centralized online contract reporting system. All information pertaining to the grant agreement will be available on the FACTS system and viewable by the public. This includes the grant agreement, payment information, deliverables, performance metrics, grant award and audit information. FACTS is online at facts.fldfs.com.
- **Florida Library Information Network (FLIN)** is the statewide cooperative network for interlibrary loan and resource sharing. FLIN was established in 1968 so that all Florida residents could have access to information and materials held in Florida's libraries. Membership includes libraries of all types. FLIN participation, policies, protocols and procedures are described in *The FLIN Manual*. *The FLIN Manual* (dated September 2013), ~~is hereby~~ incorporated by reference, and is available free of charge from Director, Division of Library and Information Services, 500 S. Bronough Street, Mail Station #9D, Tallahassee, FL 32399-0250 or online at info.florida.gov/services-for-libraries/more-programs/statewide-resource-sharing/flin.

~~A list of FLIN member libraries is available online at info.florida.gov/services-for-libraries/grants/cooperative. A list of FLIN member libraries is available from grants staff at grantsoffice@dos.myflorida.com.~~

- **Grant Agreement** means a written document setting forth the terms and conditions under which a grant is provided to an applicant by the Department, including the rights and responsibilities of each party to the agreement, which is approved and signed by the Department and an authorized representative of the applicant. The agreement (form DLIS/LSTA01, effective 03-2018), incorporated by reference, will be sent to the library along with the grant award notification. The grant agreement will include a scope of work and project deliverables.
- **Indirect Costs** are costs incurred by an organization that cannot be readily isolated or identified with just one project or activity. These types of costs are often referred to as “overhead costs.” Typical examples of indirect costs include payments for general telephone service, postage, office supplies, office space expenses, and administrative or financial operations for an entire organization. Indirect costs are authorized under ~~Section 200.414, Code of Federal Regulations (CFR)-2~~ Code of Federal Regulations Part 200.414 (gpo.gov/fdsys/pkg/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1-sec200-414.pdf), incorporated by reference. For more information on the indirect cost rate that can be used, see Section ~~IX~~ XII, Grants Administration.
- **LSTA Advisory Panel** is a ~~nine member~~ group that advises the Division on matters related to the state plan and participates in the LSTA application review process. Appointed by the secretary of state, the panel is composed of library supporters and librarians from around Florida who are interested in libraries from a variety of vantage points.
- **Noncompliance** means the subrecipient is not following *Florida Statutes*, rules, the terms of the grant agreement, Florida Department of State policies and guidance, local policies, or other applicable laws. For the consequences that result from noncompliance, see Section ~~IX~~ XII, Grant Administration.
- **Performance measures** provide the criteria for evaluating the successful completion of each deliverable.
- **Performance metrics** describe the documentation to be used to prove the deliverable has been met.
- **Performance standards** describe the acceptable level of services to be performed or products to be delivered.
- **Project Summary** is a brief overview of the project, including a description of the target audience, the needs to be addressed and how the project will meet those needs. A project summary is not the same as a scope of work.
- **Scope of Work** is a description of the specific work to be performed under the grant agreement in order to complete the project. It is not the same as the project summary but provides more detail and is typically broken down into specific activities with deadlines.

The scope of work will be provided by the applicant in the grant application for inclusion in the grant agreement but may be renegotiated by request of the Division.

III. ELIGIBILITY

Florida libraries and nonprofit organizations primarily related to the provision or support of library services are eligible to apply for LSTA funds. Nonprofit organizations must be qualified or registered pursuant to Chapter 617, *Florida Statutes* and be in good standing. Good standing means a nonprofit organization has current certificate of good standing from the Florida Department of State, Division of Corporations.

To receive a grant:

- A. The library's services program must be headed and administered by a librarian who has completed a library education program accredited by the American Library Association; and
- B. The library must agree to make their resources available to the public either onsite or by participation in the Florida Library Information Network (FLIN). Circulation of the library's collection to the public is not a requirement of making collections available to the public; however, the public must be allowed to enter the library to use its resources. Participation in FLIN means that the library must have a letter of agreement on file with the Division of Library and Information Services to make the library's resources available via interlibrary loan to other libraries.

For purposes of Florida's grant program, a library is defined as an organized collection of information resources with paid staff and regularly scheduled hours for being open to the public, that is legally established and is supported in whole or in part with public funds, or makes its collections accessible to the public either directly or through the Florida Library Information Network (FLIN).

The following entities are eligible to apply for LSTA funds:

Public libraries. Public libraries are all libraries eligible to receive State Aid to Libraries grants and city, county or nonprofit association-funded libraries that provide free library services to their legal service areas. This category also includes state-supported institutions and Native American tribal libraries.

Unless otherwise provided for, the administrative unit of a consolidated or cooperative public library coordinates and applies for grants on behalf of member or branch libraries. Grant agreements will be made with the governing body of the public library cooperative for projects implemented by member libraries. Grant applications may be for the entire library system, an individual branch or member library, or for some other portion of the organization.

The headquarters of a library system or cooperative must submit the application. The project can be for the entire system, an individual branch or for some other portion of the

organization, but only the headquarters can submit the application. For example: if the project will benefit one county in a multi-county cooperative, the director of the multi-county cooperative must submit the application on behalf of the county library.

Correctional institution libraries that are not a part of the Florida Department of Corrections or privately operated correctional facilities must meet the basic eligibility requirements under Sections III - A and B to receive a grant. Applications from correctional institution libraries administered by the Florida Department of Corrections must be coordinated through and administered by the central office of the Department of Corrections. Department of Children and Families libraries must also meet the basic eligibility requirements under Sections III - A and B to receive a grant.

Public elementary, secondary or charter school libraries. Unless otherwise provided for, the county school board coordinates and applies for grants on behalf of public elementary, secondary and charter school libraries. Grant agreements will be made with the county school board for projects implemented by libraries in a school district. Grants may be for a single member or multiple members of a school district. To be eligible to receive a grant, the district media coordinator or contact must meet the requirements provided in Section III - A. When the district media coordinator or contact does not meet the eligibility requirements, grant applications may be submitted in partnership with another school district or another type of library that meets all of the eligibility requirements.

Academic libraries. Academic libraries include public state universities, state or community colleges and private post-secondary education libraries. The academic support organization for the public colleges and universities is also included in this category. To be eligible to receive a grant, the library must meet the basic eligibility requirements under Sections III - A and B to receive a grant.

Library consortium. Eligible library consortia in Florida are the five multitype library cooperatives: Northeast Florida Library Information Network, Panhandle Library Access Network, Southeast Florida Library Information Network, Southwest Florida Library Network and Tampa Bay Library Consortium. To be eligible to receive a grant, the library director must meet the basic eligibility requirements under Section III - A to receive a grant.

Special libraries. Special libraries include information resource centers located at publicly supported government agencies, museums, hospitals, associations and other organizations with specialized information needs. At least 50 percent of the agency's operating funds must come from public governmental sources. To be eligible to receive a grant, the library must meet the basic eligibility requirements under sections III - A and B to receive a grant.

~~The headquarters of a library system or cooperative must submit the application. The project can be for the entire system, an individual branch or for some other portion of the organization, but only the headquarters can submit the application. For example, if the~~

project will benefit one county in a multi-county cooperative; the director of the multi-county cooperative must submit the application on behalf of the county library.

Partnerships or cooperative efforts on projects may occur among all types of libraries. The library that administers the project should submit the application. For example, if the partnership includes an academic library and a public library, and the public library will administer the project, then the application should be submitted by the public library. If the academic library is to administer the project, the application should be submitted by the academic library.

IV. TIMELINE

<i><u>April 2017</u></i> <i><u>January 2018</u></i>	Announcement of application submission period in <i>Florida Administrative Register</i> and via email.
<i><u>April 2017</u></i> <i><u>January- February 2018</u></i>	Division staff assistance and consultation available to applicants.
<i><u>June 1, 2017</u></i> <i><u>March 20, 2018</u></i>	Applications due. Applications must be submitted on the DOS Grants System at dosgrants.com on or before this date.
<i><u>June 2017</u></i> <i><u>March 2018</u></i>	Grants Office & Division staff review of applications.
<i><u>June 2017</u></i>	Division staff review of applications
<i><u>April-May 2018</u></i>	LSTA Panel preliminary review of applications.
<i><u>July 2017</u></i> <i><u>June 2018</u></i>	LSTA Advisory Panel Application Review and Funding Decision Meeting. <u>Applicants notified whether or not they will receive a grant.</u>
<i><u>September 2017</u></i> <i><u>July 1, 2018</u></i>	<u>Projects begin.</u> Applicants notified whether or not they will receive a grant
<i><u>October 1, 2017</u></i>	Projects may begin on October 1, but only after the grant agreement is fully executed
<i><u>April 1, 2018</u></i> <i><u>January 30, 2019</u></i>	Mid-Year Reports due for FFY 2017-18 <u>2018-19</u> projects. Mid-Year Reports must be submitted on the DOS Grants System at dosgrants.com on or before this date.
<i><u>June 1, 2019</u></i>	<u>Last date to have grant agreement signed in order to be able to receive grant funds.</u>
<i><u>September 30, 2018</u></i> <i><u>2019</u></i>	Ending date of FFY 2017-18 <u>2018-19</u> projects. All grant and matching funds must be expended by this date.

November 1, 2018 2019

Final Reports due for FFY 2017-18 2018-19 projects. Final Reports must be submitted on the DOS Grants System at dosgrants.com on or before this date.

V. APPLICATION SUBMISSION

Application Submission. Applications must be submitted electronically on the DOS Grants System at dosgrants.com.

If a library is not listed in the DOS Grants System, the library may be added by contacting the Division of Library and Information Services at info@flibraries.org grantsoffice@dos.myflorida.com or 850.245.6608.

Applications must be submitted by the organization director or a person ~~who the director has designated by the director~~ with the authority to submit an application.

Number of Applications. There is no limit to the number of applications a library may submit. However, libraries must prioritize all applications submitted. Capability to implement a project and the track record of previously implemented projects will be considered as factors in awarding grants. Each library should assess its ability to implement multiple grants before submitting applications.

Number of Years of Project Funding. There is no limit on the number of years that a project may be funded. Applications are evaluated and grants awarded on a single year basis. However, a library's track record – including effectiveness of evaluation – will be taken into account for projects requesting multiple years of funding.

Grant Award Amounts. Available grant funds will be awarded to projects that are the most competitive and that most appropriately help the state meet the goals and outcomes outlined in Florida's Library Services and Technology Act long-range plan.

~~There~~ For competitive projects, there is no minimum or maximum amount of grant funds that may must be awarded. For statewide projects, funding recommendations cannot be reduced more than 5% from the amount requested. For both types of projects, funding cannot be for more than is requested. The amount of grant awards will be determined each year based on the availability of funds and the evaluation of applications according to established program priorities and criteria for evaluation of applications.

~~No specific dollar amounts have been set aside to fund grants in certain categories. Available grant funds will be awarded to projects that are the most competitive and that most appropriately help the state meet the goals and outcomes outlined in Florida's Library Services and Technology Act long-range plan.~~

VI. APPLICATION

The following information should be provided in the application. The application must be submitted on the DOS Grants System at dosgrants.com.

Applicant Information. Provide general information related to the applicant and application, including:

- organization information,
- organization director contact information, and
- project manager contact information,
- DUNS number,
- Internet Safety Education Program implementation status, and
- Rural Economic Development Initiative eligibility status.

Project Information. Provide overall project information, including the application priority, targeted user groups, project service area and project summary.

~~**Scope of Work and Deliverables.** Provide information that will be used in the development of the grant agreement—the scope of work, the deliverables and the performance metrics related to each deliverable. This information will not be provided to reviewers, so information contained in this section should also be included in the applicable parts of the application.~~

Introduction. Provide an overview of the applicant and establish who is applying for funds. The information provided in questions 1 - 65 should be answered for the applicant listed on the project information section.

If the project is being implemented by an outlet, member or specific subset of the organization, the information in questions 1 - 65 can be provided for the specific subpart as a part of the response to question 76.

1. Where is the applicant located geographically?
Indicate where the applicant is located. For example: indicate county or region of state; county where a municipality is located, etc.
2. How many staff members does the applicant have?
Include the number of full-time staff, part-time staff; and volunteers.
3. How many service outlets does the applicant have?
Give the number and additional information if necessary.
4. How many registered borrowers does the applicant have, if applicable?
The response can be a number or a more detailed explanation if necessary. Multitype library cooperatives can indicate zero as they do not have actual registered borrowers.
5. What is the governance of the applicant?
Describe how the applicant is a part of the overall organization. For example, a Department of county or city government, a special tax district, etc.

- ~~6. What is the total budget of the applicant?
Provide the total budget for the organization. If the organization is a department or division of an organization, provide the budget for that portion of the organization.~~
6. Provide any additional information about the applicant to set the context for the project. ~~Provide additional information that gives context for the project.~~ Include influences affecting the organization or community. For example: a large seasonal population influx, special collections, community or economic influences. If there is no information to be provided, indicate “Not Applicable.”

Need. The need defines the problem to be solved by the project and ~~who~~ the targeted population to be served by the project. The need makes a case for funding the project. Provide information for each of the following questions:

1. Size of the target population.
Provide the number of people that the project is intended to reach.
2. Who is the target population?
Provide information on the characteristics, statistics, relative comparisons or other demographics of the group of people to be served by the project.
3. What are the unmet needs of the target population?
Describe why this group of persons is being targeted for service and what their needs are as related to the project. This could include information on education levels, access to resources, the community situation, or factors such as a seasonal population increase, unemployment, etc.
4. ~~Describe how~~ How are the target population's needs are-not being met by the library or other community services?;
Provide information on the current conditions which prevent the applicant or other organizations from meeting the target population's needs. This could include information on the collection, staffing, resources, accessibility, etc.
5. ~~How does the project relate to the applicant's mission, or to the applicant's long range plan?~~ How does the project relate to the applicant's long range plan or enhance the mission of the organization?
Indicate the applicable sections of the long range plan of the organization that the project supports. The entire long range plan does not have to be included.
6. Additional factors for consideration.
If applicable, include any additional information to provide context for the need for the project. If this project has had any previous activity or funding, either locally or from LSTA or other grants, provide a current status of the project and its results to date. If there is no information to be provided, indicate “Not Applicable.”

Partners. Project partners are outside agencies or organizations who will be working in partnership on the project for the mutual benefit of the applicant and partner. Vendors under contract are not usually considered to be project partners.

List each partner's name and describe their roles in the project. If there are no partners, indicate “Not Applicable.”

Activities. Identify each major project activity area. For each major project activity area, provide:

- Activity name,
- a detailed narrative and what will be done, including a timeline for the activity,
- A list of resources needed and
- ~~the~~ The outputs that will be measured for each activity.

Add as many major project activity areas as are needed to implement the project.

The narrative should describe each activity in measurable terms, such as the number of programs, length of programs, types of training, number of items to be purchased, etc. If purchasing materials for the collection, provide a description of formats, areas, and number of items to be purchased. Include who is responsible for carrying out each activity.

Additional Project Information. Provide information on:

- Plans to promote and publicize the entire project. Indicate who will be responsible for carrying out the publicity activities and how will the target audience be informed about the project.
- Plans to continue or sustain the project once grant funding ends, and
- Information related to digital images, if they are to be produced during the project.

Evaluation. Provide information on how the project will be evaluated as it is implemented. This section should also provide the measures to determine the project's success.

1. Evaluation Narrative. Describe the evaluation plan for the project including who will be responsible for the evaluation and when the evaluation will occur. Describe any questionnaires, surveys, or test instruments to be used. Describe any reports the evaluation will produce. Describe how the evaluation will be used to make changes within the project year. If you wish to include evaluation samples (not required), they can be uploaded in the attachments section of the application.
2. Outcomes. The outcome measures changes in knowledge, skills, behavior or condition of the customer as a result of the project. The Division requires at least one of the three required outcomes be used to evaluate each project. Select at least one of the outcome(s) below that will best measure the success of the project. Additional outcomes can be added if desired, but are not required.

The required outcomes for the grants are:

- The targeted population uses information or services that were not previously available.
- The targeted population uses technology to get information.

- The targeted population demonstrates an increase in their knowledge, skills or abilities.
3. Indicator. Indicators show how well the project is meeting an outcome. An indicator answers the question "how do we know that an outcome is achieved?" It is the statistics or data gathered to prove your results. Provide at least one indicator for the outcome. List additional indicators, if needed.
 4. Source. For each indicator listed, list the who or what that will provide the data that you will use to evaluate the outcomes of the project
 5. Method. For each source listed, list the method by which the data used to determine the indicator will be collected.

Budget. Provide details on the proposed expenditures of all grant and matching funds.

The budget explains and justifies the LSTA and matching funds required to implement the project. It should be clearly related to project activities. Each section of the budget is comprised of two required parts: the budget detail and budget narrative of the proposed amounts.

For each amount listed in the detail, the narrative should show how the amounts were calculated, for both grant and matching (if applicable) funds, i.e., include calculations, unit costs, or breakdown of costs.

Matching funds must be at least one third of the total grant. Match does not have to be a line item or section match; it is an overall total amount of matching funds.

Local matching funds are local funds used for match excluding any federal grant funds.

Scope of Work and Deliverables. Provide information that will be used in the development of the grant agreement – the scope of work, the deliverables and the performance metrics related to each deliverable.

1. Scope of Work

Provide the wording for the Scope of the Work that will be incorporated into the grant agreement. Write the Scope of Work based on the activities proposed in the application

2. Deliverables

Provide the deliverables that will be delivered prior to each payment, based on planned activities and Scope of Work. Then provide the performance metrics or documentation that will be used to prove each deliverable has been met. The deliverables and performance metrics will be incorporated into the grant agreement.

Payment one is an advance payment; it does not require deliverables.

Certifications and Attachments. Provide the required certification forms ~~and add any additional attachments (optional) as applicable.~~

1. Debarment Form. All applicants must complete this form to certify that the organization has not been deemed ineligible to receive federal funding. The form, incorporated by reference, is available at info.florida.gov/services-for-libraries/grants/lsta/guidelines-applications-and-forms.
2. Federal Funding Accountability and Transparency Act (FFATA). The form, incorporated by reference, is available at info.florida.gov/services-for-libraries/grants/lsta/guidelines-applications-and-forms. All applicants must complete this form. The FFATA Act requires that information on federal awards and executive compensation be made available to the public through a single searchable website, www.USASpending.gov.
3. Internet Safety Certification Form. The form, incorporated by reference, applies only to public libraries and is available at info.florida.gov/services-for-libraries/grants/lsta/guidelines-applications-and-forms.
4. Lobbying Activities Form. Complete this form if your organization lobbies Congress for the passage of any federal act or law, or if your organization pays for a lobbyist to lobby Congress. The form, incorporated by reference, is available at info.florida.gov/services-for-libraries/grants/lsta/guidelines-applications-and-forms.
5. Support Materials. Add any attachments that are applicable to your application (optional).

VII. MATCHING FUNDS

If a project requests less than \$10,000 (\$0-\$9,999) in grant funds, no matching funds are required. All other projects must provide local matching funds that equal a minimum of one-third (1/3) of the amount of federal funds requested or awarded. A library in a county or community with rural status may request a waiver of the match requirements at the time of the grant application in compliance with Section 288.06561, *Florida Statutes*. Statewide projects coordinated by the Division may have the matching requirement waived.

Local matching funds may be in-kind or cash contributions. Expenditure of funds by partners on project-related activities may be used as match. The expenditures must be documented and reported.

Local matching funds may not be used on more than one project.

Matching funds must be related specifically to the project.

Matching fund expenditures may only be made during the project period. Funds expended before or after the project period may not be used as match.

The following may not be used as match for grants:

- Other federal grant funds.
- Volunteer time. Volunteer time may only be used to show support for the project as a part of the Inputs section of the application. It may be shown as number of full-time equivalents or hours spent in support of a project.

VIII. ASSISTANCE FROM THE DIVISION

Grant program and grant-writing workshops and webinars may be offered to provide assistance with developing an LSTA application. Division staff is available to assist with development of grant applications, discuss grant ideas, assist with evaluation design, review draft applications and offer advice throughout the application development period. Drafts may be submitted ~~using the DOS Grants System at dosgrants.com. Drafts can also be submitted~~ directly to Division staff for review and feedback. A draft can be sent at any time prior to the application deadline. Drafts should be as complete as possible to allow staff to provide a more thorough and comprehensive review.

IX. TYPES OF PROJECTS

Florida's grant program is based on the purposes of LSTA and the needs of Florida residents and libraries. It is developed from the goals and outcomes provided in Florida's Library Services and Technology Act long-range plan. Projects must clearly fit in with both the purposes and priorities of LSTA and Florida's long-range plan (dos.myflorida.com/media/698097/lstaplan2018-22.pdf), incorporated by reference.

LSTA allows funds to be expended for the following types of programs and services (20 USC Chapter 72 Section 9141 (uscode.house.gov/view.xhtml?path=/prelim@title20/chapter72&edition=prelim), incorporated by reference:

- Expanding services for learning and access to information and educational resources in a variety of formats, in all types of libraries, for individuals of all ages in order to support such individuals' needs for education, lifelong learning, workforce development and digital literacy skills.
- Establishing or enhancing electronic or other linkages and improved coordination among and between libraries and entities for the purpose of improving the quality of and access to library and information services.
- Providing training and professional development, including continuing education, to enhance the skills of the current library workforce and leadership and to advance the delivery of library and information services.
- Enhancing efforts to recruit future professionals to the field of library and information services.
- Developing public and private partnerships with other agencies and community-based organizations.
- Targeting library services to individuals of diverse geographic, cultural and socioeconomic backgrounds, to individuals with disabilities, and to individuals with limited functional literacy or information skills.
- Targeting library and information services to persons having difficulty using a library and to underserved urban and rural communities, including children (from birth through age 17) from families with incomes below the poverty line.

- Developing library services that provide all users access to information through local, state, regional, national and international collaborations and networks.
- Carrying out other activities consistent with the purposes of this act set forth in 20 USC Chapter 72 Section 9121 (uscode.house.gov/view.xhtml?path=/prelim@title20/chapter72&edition=prelim), incorporated by reference, which are specified below, removing duplication from Section 9141:
 - Promoting continuous improvement in library services in all types of libraries in order to better serve the people of the United States.
 - Facilitating access to resources in all types of libraries for the purpose of cultivating an educated and informed citizenry.
 - Encouraging resource-sharing among all types of libraries for the purpose of achieving economical and efficient delivery of library services to the public.
 - Promoting literacy, education and lifelong learning and to enhancing and expanding the services and resources provided by libraries, including those services and resources relating to workforce development, 21st century skills and digital literacy skills.
 - Ensuring the preservation of knowledge and library collections in all formats and enabling libraries to serve their communities during disasters.

In designing projects, applicants are encouraged to explore innovative and creative ways to deliver services to their identified population and, when applicable, to establish and nurture partnerships and use technology to meet the needs of users.

The Division may initiate statewide projects to address special needs and opportunities that implement objectives and activities of the long-range plan. When applicable, eligible grant recipients will be notified of application availability, program information, ~~and~~ guidelines and ~~program~~ timelines.

When applications are submitted, they will be placed into one of two categories by Division staff for review and funding recommendation:

- **Statewide.** These applications are for projects that directly support statewide programs or services. There are two types of statewide projects:
 1. Regionally administered training programs from the multitype library cooperatives.

For the regionally administered training programs, the maximum amount of funding that can be applied for is \$200,000.
 2. All other projects that directly support statewide programs or services.

Division staff will provide the applicant with a maximum amount of funding for which they can apply for each statewide project. The maximum application amount is based on an average of funding awarded in the previous five-year

period. For fiscal year 2018-19, the average will be based upon grant awards in fiscal years 2013-14, 2014-15, 2015-16, 2016-17 and 2017-18.

The Division reserves the right to determine when a statewide project will no longer be funded. If such a determination is made, the Division will provide the notice of discontinuance to the subgrantee that administers the impacted program no later than June 30, 2018. In the next grant cycle, which will become the final year of the project, the subgrantee shall receive the same grant amount it was awarded in the previous year.

If a subgrantee determines that it no longer wishes to continue a statewide project, the Division should be notified no later than the pending application due date for the next grant cycle, so that a determination can be made to move the project to another eligible organization or to end the project with the current funding year.

- **Competitive.** These applications do not support a statewide program or service.

X. FUNDING DECISION PROCESS

The application funding decision process is accomplished in ~~four~~ three phases:

1. ***Grants Staff Review.*** Upon receipt by the Division;
 - Grants staff reviews applications for eligibility and completeness. If an application is found to be incomplete, the applicant will be notified of the identified deficiencies and will be given an opportunity to submit information or documentation to render the application complete. If the identified deficiencies are not corrected by the established deadline, the application will be declared ineligible. When applications are declared ineligible, applicants will be notified of their ineligibility.
 - Points for implementation of an Internet safety program are determined for competitive project applications. (See Section XI, Evaluation Criteria.)

~~Grant applications are placed in one of two groups for review and funding recommendation:~~

- ~~**Statewide.** These applications are for projects that directly support statewide programs or services.~~
 - ~~**Competitive.** These applications do not support a statewide program or service.~~
2. ~~***Division Staff Review Meetings.*** After completion of the initial review, applications are assigned to a team of three Division staff members for review and evaluation using criteria specified in these guidelines. Division staff assign each application a designation of high, medium, low or no funding priority. The applications and Division staff evaluations are made available to LSTA Advisory Panel members.~~

3. ~~**LSTA Advisory Panel Review Meeting.** The advisory panel makes funding recommendations on all grant applications submitted by organizations. The panel makes recommendations of funding amounts totaling up to the amount of grant authority allocated by the Florida Legislature in the General Appropriations Act.~~

~~Funding guidelines for each category:~~

- ~~• **Statewide.** Funding recommendations cannot be \$0, however recommendations do not have to be for full funding. The panel can also recommend revisions or changes to applications as a condition for funding. Statewide programs include the regionally administered training programs from the multitype library cooperatives. The panel cannot recommend more funding than is requested.~~
- ~~• **Competitive.** Funding recommendations can be from \$0 up to the full amount of the funding request. The panel can also recommend revisions or changes to applications as a condition for funding. The panel cannot recommend more funding than is requested.~~

~~When making funding recommendations, the panel reviews applications based on:~~

- ~~• The need for the project;~~
- ~~• The benefits for the target audience; and~~
- ~~• The quality of planning for the project as demonstrated by the application.~~

~~In addition, the following questions are addressed as the applications are assessed:~~

- ~~• Does the project address the goals and objectives of Florida's Library Services and Technology Act long range plan?~~
- ~~• Has the need for the project been clearly and persuasively established?~~
- ~~• Does the application reflect thoughtful planning to help ensure a successful project?~~
- ~~• Are the outcomes or accomplishments of the project clear and achievable? Will they result in an improvement or change that can be evaluated, in library services or in the lives of people targeted by the project?~~
- ~~• Does the project reflect support from partnerships?~~
- ~~• Is the plan of action or work plan clearly stated, appropriate and reasonable?~~
- ~~• Are the budget and staffing appropriate, given the scope and anticipated outcomes of the project?~~

~~During the LSTA Advisory Panel review meeting, applicants will be given an opportunity to answer questions asked by members.~~

2. **LSTA Panel Review Meeting.** The panel makes funding recommendations on all grant applications submitted by eligible organizations. The LSTA Panel meeting is a public meeting. The Division strongly encourages applicants to participate in the grant panel meeting, however, it is not required. Participation instructions will be emailed to

applicants and posted on the Division's website. Participation can be in person or virtually.

The total amount of funding that will be available for the LSTA Panel to award will be allocated by the Florida Legislature in the General Appropriations Act.

The panel review and funding process will take place in two parts, a Statewide Project Application Review and a Competitive Project Application Review. The review and actions will take place in this order:

a. Statewide Project Application Review

Statewide projects will be reviewed but not scored by the Panel.

Individual panel members will review statewide project applications prior to the meeting. Each statewide project application will be reviewed and discussed individually by the Panel during the meeting. During the meeting, prior to discussing an individual project, the applicant will have the opportunity to provide a verbal update of the application. Applicants will also be given an opportunity to answer questions asked by panel members during the discussion of the individual project. The Panel will make recommendations of funding amounts for each application.

- The Panel can recommend revisions or changes to applications as a condition for funding.
- The Panel cannot recommend more funding than is requested for each project.
- The Panel may choose to reduce up to five percent (5%) from each statewide project.

Once the funding recommendations are finalized for the statewide projects, the funding recommendations for statewide projects will be locked and no further funding adjustments or revisions to the grant will be made by the Panel.

Division staff will determine how much funding remains after the statewide project funding recommendations are completed and present this amount to be read aloud at the panel meeting. If residual funds are available, the Panel will go to Competitive Project Application Review.

b. Competitive Project Application Review

Competitive projects will be reviewed by the Panel in two rounds

1. Scoring Round

Individual panel members will review and score each competitive application prior to the meeting. Initial panel member scores must be entered into the DOS Grants System at dosgrants.com no later than one week before the Panel meeting.

Each competitive application will be reviewed and discussed individually by the panel during the meeting. During the meeting, prior to discussing an individual project, the applicant will have the opportunity to provide a verbal update of the application. Applicants will also be given an opportunity to answer questions asked by panel members. Panel members will have an opportunity to modify and/or finalize their scores during the meeting. After discussion of each project, each panel member will voice their final score on the application. The official score is the one voiced at the meeting.

Once the finalized scores are received from the panelists, each application score will then be averaged. The averaged scores will not include points for the Internet safety education program.

The average of the individual panelist scores will be rounded to a whole number. If there is a decimal in the average, and the decimal is a 5 or greater, the score will be rounded up in favor of the applicant. For example, 79.5 will be rounded to 80 but 79.2 would remain 79.

Competitive projects that score at or above 60 points will be considered by the Panel for funding recommendations. Those applications that receive an average score equal to 59 or lower will not be considered further for funding.

Once the average scoring has been completed, Division staff will add the Internet safety education points, as applicable, to the average score and will provide a list to the panel, ordered by score, for all projects scoring at or above 60 points.

2. Funding Round

The Panel will make a recommendation of a funding amount for each application, totaling up to the amount of remaining grant authority allocated by the Florida Legislature in the General Appropriations Act after funding recommendations were finalized for the statewide projects.

- Funding recommendations can be from \$0 up to the full amount of the funding request.
- The Panel can recommend revisions or changes to applications as a condition for funding.
- The Panel cannot recommend more funding than is requested.
- The Panel can determine the final funding recommendation for each project in various ways such as fully funding from the highest scored application until all of the funds are allocated, percentage funding for all applications, or any other method chosen by the panel.

3. ***Secretary of State Review.*** The LSTA Advisory Panel funding recommendations are submitted to the Secretary of State for final review and approval. The Secretary of State has the final authority to approve, amend or deny all grant applications funding recommendations and to award grants.

Upon completion of the application review process, applicants are notified whether or not their application was approved for funding. Results of the evaluation of grant applications are available upon request after the review process is complete.

XI. APPLICATION EVALUATION

Project applications will be evaluated by a team of three Division staff on how clear, complete and appropriate they are and how reasonable the information provided in each section is. They will also be evaluated on how well and to what extent they address the evaluation criteria.

An application can receive evaluation points as outlined below:

	Points
Context	30
Resources	10
Solution	30
Evaluation	15
General	15
Total	100
Internet Safety Education (Public Libraries only)	10
Developmental Comments	0

EVALUATION CRITERIA

Context _____ **0 to 30 points**

Reviewers will evaluate whether the application clearly presents the following components:

- A description of the target population. The description includes information on the characteristics, statistics, relative comparisons or other demographics of the group to be served. It should include information such as education levels, access to resources, community situations and unemployment, as applicable to the target population.
- A description of the unmet need of the target population and a description of how the need was determined.
- A description of what conditions have prevented the applicant or other organizations from meeting the unmet need.
- A need that builds a case for the project.
- Information, statistics and/or anecdotal examples that document facts, support arguments, show interrelationships and demonstrate participation and support for the project. This information should be substantiated.
- How the project fits within the applicant's mission and goals.

Resources _____ **0 to 10 points**

Reviewers will evaluate:

- Whether the resources described for the project are appropriate and sufficient to carry out the project.
- Whether the applicant has the capability, including personnel, facilities and organization, to implement the proposed project.
- The applicant's administrative performance on current or previous grants (e.g., timeliness of report submission, compliance or other administrative activities).

Solution _____ **0 to 30 points**

Reviewers will evaluate whether the application clearly presents the following components:

- A solution that will address the identified need of the targeted population.
- A logical and appropriate process of implementation.
- A detailed description of the activities to be performed from the beginning to the end of the project.
- Evidence that the project is feasible and achievable within the project period.
- An adequate and appropriate publicity plan for the project.
- A realistic budget for the project. All grant and matching funds must be related to the project activities being performed.
- A budget that shows how all grant and matching amounts were calculated.

Evaluation _____ **0 to 15 points**

Reviewers will evaluate the appropriateness of the evaluation tools, methods and data being collected for outcome evaluation.

For continuing projects, effectiveness of the evaluation will be assessed.

General _____ **0 to 15 points**

Reviewers will evaluate whether the application includes:

- Sufficient detail to understand the organization and the problem, need or opportunity as well as how the proposed project will be implemented.
- A strong relationship between the need and the proposed solution.
- Substantiation of all aspects of the project described.
- Suitability of the project in terms of the need.
- Reviewers will also evaluate whether or not the project is appropriate for the type of grant assistance requested.

Applications will be evaluated individually by each LSTA Panel member on how clear, complete and appropriate the information provided is.

An application can receive evaluation points as outlined below:

	<u>Points</u>
<u>Project Need</u>	<u>30</u>
<u>Project Impact</u>	<u>30</u>
<u>Project Implementation</u>	<u>30</u>
<u>Internet Safety Education (Public Libraries only), assigned by the Division</u>	<u>9</u>
<u>Total</u>	<u>99</u>

EVALUATION CRITERIA

Project Need

0 to 30 points

Reviewers will evaluate if the application addresses the following components:

- A description of who is applying for funding. If the applicant is part of a larger organization, information should be provided on both the applicant and the larger organization.
- A description of the target population. The description includes information on the characteristics, statistics, relative comparisons or other demographics of the group to be served. It should include information such as education levels, access to resources, community situations such as poverty level, race and unemployment, as applicable to the target population.
- A description of the unmet need of the target population.
- A description of how the need was determined, including how and what input was collected from the target population on the specific need.
- A description of what conditions have prevented the applicant or other organizations from meeting the unmet need.
- A need that builds a case for the project activities.
- Information, statistics and/or anecdotal examples that document facts, support arguments, show interrelationships and demonstrate participation and support for the project. This information should be substantiated.
- Assessment of how the project fits within the applicant's mission and goals.

Project Impact

0 to 30 points

Reviewers will evaluate if the application addresses the following components:

- The benefit of the project on the target audience.
- A solution that is appropriate to address the identified need of the targeted population.

Project Implementation

0 to 30 points

Reviewers will evaluate if the application addresses the following components:

- A detailed description of the activities to be performed from the beginning to the end of the project.
- A logical and appropriate process of implementation.
- A realistic timeline for project implementation.
- Evidence that the project is feasible and achievable within the project period.
- An adequate and appropriate publicity plan for the project.
- Whether the resources described for the project are appropriate and sufficient to carry out the project.
- A realistic budget for the project. All grant and matching funds must be related to the project activities being performed.
- A budget that shows how all grant and matching amounts were calculated.

Internet Safety

0 or 9 points

Points for implementation of an Internet safety program are determined and assigned by Division staff at the time of the Grants staff review. These points cannot be changed by panel members.

Either 0 or 9 points will be awarded to each applicant. All of the criteria must be met in order to receive the 9 additional points. These points can only be awarded to public libraries.

A public library shall receive 9 additional points, in accordance with Chapter 257.1312 (3) *Florida Statutes*, if:

- (1) It has adopted an interactive and age-appropriate Internet safety education program which has been endorsed by a government-sanctioned law enforcement agency or other reputable public safety advocacy organization and is designed for children and adults. The purpose of the Internet safety program is to promote the use of prudent online behavior and broaden awareness of users concerning online predators; and
- (2) The annual number of persons who complete the library's program equals one percent or more of the total number of the library's registered borrowers from the preceding year.

The number of persons who complete the Internet safety education program and the number of registered borrowers of each library shall be reported in the library's Annual Statistical Report Form for Florida's Public Libraries. Participants completing the program as a result of strategic partnerships or collaboration between the library and other entities may be included in the annual report. A library that has not submitted an Annual Statistical Report should contact Division staff prior to the application deadline.

Developmental Comments

no score

~~This section does not have an evaluation score. It is for additional contextual comments made by Division staff about the project and the library organization as applicable to this project.~~

Funding Priority Recommendation

~~In addition to evaluation scores, applications will be given funding priority rankings. Division staff consider the need for the project, the benefits for the target audience and the quality of planning for the project as demonstrated by the application. Applications can receive a designation of high, medium, low or no funding priority.~~

XII. GRANT ADMINISTRATION

Accessible Programs and Services. Grant recipients are required to make their services and programs accessible in compliance with the Americans with Disabilities Act of 1990 (ada.gov), (incorporated by reference). ~~For more information on these requirements, see ada.gov.~~

Recipients of LSTA grant funds are also required to comply with Title VII of the 1964 Civil Rights Act (eoc.gov/laws/statutes/titlevii.cfm), incorporated by reference). Title VII and its implementing regulations provide that no person shall be subjected to discrimination on the basis of race, color or national origin under any program or activity. This includes English language for persons with Limited English Proficiency (LEP), which can provide a barrier to accessing important benefits or services, understanding and exercising important rights, complying with applicable responsibilities or understanding other information provided by federally funded programs and activities. Grant recipients must have appropriate and reasonable language assistance measures designed to address the needs of persons with limited English proficiency as required by Executive Order 13166 (gpo.gov/fdsys/pkg/FR-2000-08-16/pdf/00-20938.pdf), incorporated by reference. For additional guidance on these requirements, see lep.gov neh.gov/grants/manage/neh-policy-guidance-title-vi-prohibition-against-national-origin-discrimination-it (incorporated by reference).

Acknowledgement of Grant Funding. Both the Institute of Museum and Library Services (IMLS) and the Division of Library and Information Services require public acknowledgement of LSTA grant funding for activities and publications supported by grant funds. Any announcements, information, press releases, publications, brochures, videos, web pages, programs, etc. created as part of an LSTA project must include an acknowledgment that LSTA funds were used to create them.

Use the following text:

“This project was funded under the provisions of the Library Services and Technology Act from the Institute of Museum and Library Services. Florida’s LSTA program is administered by the Department of State’s Division of Library and Information Services.”

- 2. Audit.** An audit that is in compliance with requirements of the federal Single Audit Act, 2 *CFR* 2 Subpart F – Audit Requirements (gpo.gov/fdsys/pkg/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1-part200.pdf), incorporated by reference, and Section 215.97, *Florida Statutes* must be submitted within nine months following the end of the grant period and

close of the organization's fiscal year. If a subgrantee is not required by law to conduct an audit in accordance with the Florida Single Audit Act or the federal Single Audit Act, it must submit a Financial Report on its operations pursuant to Section 218.39, Florida Statutes within nine months of the close of its fiscal year.

Digitization Projects. Digitization projects supported by grant funding (including matching funds) will be required to:

- Be harvestable by the Sunshine State Digital Network to be made available on the Digital Public Library of America. More information is found at sunshinestatedigitalnetwork.org.
- Have all rights and permissions as required under current law to create and make available to the public the content of the items that are planned to be digitized. The Division reserves the right to request rights and permissions and documentation.
- Follow the Technical Guidelines for Digitizing Cultural Heritage materials (dated September 2016) (digitizationguidelines.gov/guidelines/FADGI%20Federal%20%20Agencies%20Digital%20Guidelines%20Initiative-2016%20Final_rev1.pdf), incorporated by reference.
- Include copyright information at the item level using the rights statements found at rightsstatements.org/en/documentation/#use-by-cultural-heritage-institutions, incorporated by reference.

Equipment Purchased With Grant Funding. Before the grant recipient can purchase any equipment with a purchase price of more than \$5,000 (per item), the Division must request approval from the Institute of Museum and Library Services. The grant recipient will be notified once the approval has been obtained.

Grant Agreement. A grant agreement must be signed by both the grant recipient's governing body and the Department of State, Division of Library and Information Services before any ~~project or matching funds can be obligated or expended~~ grant payments can be requested. The agreement (Form DLIS/LSTA01), incorporated by reference, will be sent to the library after the grant award notification. The grant agreement will include a scope of work and project deliverables. The scope of work and project deliverables will have been agreed upon by the grant recipient and the Division before the agreement is sent to the library. The grant agreement must be signed by all parties by June 1, 2019.

Grant Payments. Grant awards will be ~~made paid~~ in five payments. ~~Payment one~~ The first payment is an advance payment. Payments two, three, four and five will be made upon satisfactory completion of the deliverables specified in the grant agreement:

- Payment one will be 25% of the grant amount. The Division will request the payment immediately following execution of the grant agreement.
- Payment two will be 25% of the grant amount. ~~For project planning purposes, the~~ The subgrantee can request payment in December upon satisfactory completion of deliverables.

- Payment three will be 25% of the grant amount. ~~For project planning purposes, the~~ The subgrantee can request payment in February upon satisfactory completion of deliverables.
- Payment four will be 20% of the grant amount. ~~For project planning purposes, the~~ The subgrantee can request payment in April upon satisfactory completion of deliverables.
- Payment five will be 5% of the grant amount. ~~For project planning purposes, the~~ The subgrantee can request payment in June upon satisfactory completion of deliverables.

Payment Requests and supporting documentation must be submitted on the DOS Grants System at dosgrants.com.

Interest earnings. If any interest is earned on grant funds, the total amount of interest earned during the project must be reported as a part of the final report and returned to the Department of State. Make payments payable to the Department of State and mail to: Florida Department of State, Division of Library and Information Services, 500 South Bronough Street, Mail Station #9D, Tallahassee, FL 32399-0250.

Noncompliance. If the grant recipient is in noncompliance with any term(s) of the grant agreement or any other grant agreement with the Division of Library and Information Services, the Division of Historical Resources or the Division of Cultural Affairs, the Division may withhold grant payments until the organization and/or governing body comes into compliance. Violation of a grant program requirement, including but not limited to failure to submit grant reports and other grant documents, submission of incomplete grant reports or other grant documents or violation of other contractual requirements shall constitute a basis for the Division to place the recipient and/or its governing body in noncompliance status with the Department of State.

Notification of Grant Award. The Notification of Grant Award is used to advise applicants of the amount of their grant award for the applicable fiscal year. On the notification, the project to be funded is listed along with the award amount, Catalog of Federal Domestic Assistance (CFDA) number, and any special project-related comments or instructions. This form should be maintained as a part of the official project files.

If a project is funded, the applicant must submit a Change Request on the DOS Grants System that addresses any conditions or requirement listed in the Notification of Grant Award, if applicable, prior to signing a grant agreement with the Department of State, Division of Library and Information Services.

Project Period. ~~Project activities and grant and matching expenditures may begin only after an agreement has been signed by both the grant recipient's governing body and the Florida Department of State, Division of Library and Information Services. The project period ends on September 30 of the following year. A library may not obligate grant or matching funds before the execution date of the grant agreement. The project period shall begin on July 1, 2018 and end on September 30, 2019. The Grantee shall obligate or expend grant or matching funds during the project period. All project and matching funds must be paid out by~~

the project ending date, September 30, 2019. No costs incurred after the ending date of the project period shall be allowed unless specifically authorized by the Division.

For projects continuing with federal funds for a subsequent year, if the obligation of funds is necessary for the timely performance of the grant activities (for example, contracting with speakers or trainers), subrecipients may ask permission to obligate funds prior to the grant agreement being signed. This is allowed per Section 200.458, *Code of Federal Regulations*:

200.458 Pre-award costs. ~~Pre-award costs are those incurred prior to the effective date of the Federal award directly pursuant to the negotiation and in anticipation of the Federal award where such costs are necessary for efficient and timely performance of the scope of work. Such costs are allowable only to the extent that they would have been allowable if incurred after the date of the Federal award and only with the written approval of the Federal awarding agency.~~

Record Retention. Financial records, supporting documents, statistical records and all other records, including electronic storage media pertinent to the project, shall be retained through June 30, ~~2024-2029~~. If any litigation or audit is initiated or claim made, the records shall be retained until June 30, ~~2024-2029~~ or five fiscal years after the litigation, audit or claim has been completed and all issues arising from it have been resolved, whichever is later.

Refunds. Any unexpended grant funds or interest earnings must be returned to the Department of State. Make payments payable to the Department of State and mail to: Florida Department of State, Division of Library and Information Services, 500 South Bronough Street, Mail Station #9D, Tallahassee, FL 32399-0250.

Reports

Mid-Year Report. A mid-year report for project accomplishments, expenditures and use of evaluative data is due ~~April 4~~ January 30, 2019. This report must be submitted on the DOS Grants System at dosgrants.com.

Change Request. Prior to the start of the project, or at any time during the grant cycle, the Division or the organization may request to make changes to the project. Changes can be made to the outcomes, scope of work, equipment to be purchased, key personnel, expenditures or deliverables. Request for permission to revise the project is done by submitting a Change Request in the DOS Grants System at dosgrants.com.

Changes within a budget category that accumulate to less than ten percent (10%) of the award may be made without submitting a Change Request. Changes within a budget category that are more than ten percent (10%) of the award require a Change Request. Moving funds from one budget category to another requires submission of a Change Request.

Approval must be obtained from the Division before the changes are implemented. Approval will be granted for changes that are consistent with the intent of the approved project. Project revisions must be submitted using the Change Request in the DOS Grants System at dosgrants.com. Change Requests may necessitate an amendment to the grant agreement.

Final Report. A final report for the project is due November 1, 2019. This report provides accomplishments, an evaluation of the impact of the project and a financial accounting of expenditures. This report must be submitted using the DOS Grants System at dosgrants.com.

Training of Staff. LSTA funded training provided for staff of libraries or allied organizations must be open to staff of any Florida library or allied organization.

Use of Grant Funds. Federal grants, such as the LSTA grants, have limitations on what items and services may be purchased using grant funds. Some items and services are "allowable costs," meaning that they may be purchased using grant funds, while others are not. Examples of some of the more common uses of grant funds and whether they are allowable or not may be found at the Division's website at info.florida.gov/services-for-libraries/grants/lsta.

Expenditures shall be in compliance with the state and federal guidelines for allowable project costs. State guidelines for allowable costs are outlined in the Department of Financial Services' *Reference Guide for State Expenditures* (dated February 2011), (incorporated by reference), which is available online at myfloridacfo.com/aadir/reference_guide. Federal guidelines for allowable costs can be found at www.ecfr.gov/gpo.gov/fdsys/pkg/CFR-2014-title2-vol1/pdf/CFR-2014-title2-vol1-part200-subpartE.pdf in 2 *CFR* 2, Part 200, Subpart E – Cost Principles, incorporated by reference.

In general:

Grant funds are designed for direct support of services and programs for the target audience.

Grant funds may be used for evaluation related costs.

Grant funds may be used to assist in making technology and information resources available for persons with disabilities.

If grant or matching funds are used for travel expenses, they must be paid in accordance to the provisions of Section 112.061, *Florida Statutes*.

Grant funds may be used for indirect costs. There are four options for indirect costs in the LSTA application:

1. Use a current indirect cost rate already negotiated with a federal agency (2 *CFR* 2 §200.414(e));
2. Use an indirect cost rate proposed to a federal agency but not yet approved (2 *CFR* 2 §200.414(e));
3. Use a rate not to exceed 10% of the total direct costs if your organization has never had a federally negotiated indirect cost rate and you are not subject to other requirements (e.g., for local governments) (2 *CFR* 2 §200.414(f)); or
4. Do not include any indirect costs.

Grant funds may not be used for:

1. Construction. Funds may not be used to build, remodel or expand library facilities. However, they may be used to retrofit a building to accommodate technologies (e.g., wiring).
2. Audits. If the grant recipient's governing entity has received less than \$750,000 in federal funds, LSTA funds may not be used to cover audit costs.
3. Food. Although food may be served at a program ~~being provided~~ paid for with grant funds, the food may not be purchased with grant or matching funds.

DRAFT

CHAPTER 257, Florida Statutes

PUBLIC LIBRARIES AND STATE ARCHIVES

257.12 Division of Library and Information Services authorized to accept and expend federal funds. –

(1) The Division of Library and Information Services of the Department of State is designated as the state library administrative agency authorized to accept, receive, administer, and expend any moneys, materials, or any other aid granted, appropriated, or made available by the United States or any of its agencies for the purpose of giving aid to libraries and providing educational library service in the state.

(2) The division is authorized to file any accounts required by federal law or regulation with reference to receiving and administering all such moneys, materials, and other aid for said purposes; provided, however, that the acceptance of such moneys, materials, and other aid shall not deprive the state from complete control and supervision of its library.

(3) All public libraries are encouraged to adopt an Internet safety education program, including the implementation of a computer-based educational program, which has been endorsed by a government-sanctioned law enforcement agency or other reputable public safety advocacy organization and is designed for children and adults. The purpose of the Internet safety education program is to promote the use of prudent online department and broaden awareness of online predators. The program must be interactive and age-appropriate. Each library shall annually report to the division the annual number of program participants who complete the Internet safety education program. By April 1, 2010, the division shall adopt rules for rewarding those libraries in the program grant application process which have had 1 percent or more of their annual number of program participants, based on the total number of registered borrowers from the preceding year, complete the Internet safety education program adopted by the library. Program participants completing the program as a result of strategic partnerships or collaboration between the library and other entities shall be integrated into the library's annual report. The division shall adopt rules to allocate 10 percent of the total points available in the library services and technology grant application evaluation process to public libraries that are in compliance with this section, beginning with the grant application cycle for the 2011-2012 fiscal year.

History.—ss. 1, 2, ch. 26976, 1951; s. 4, ch. 63-39; ss. 10, 35, ch. 69-106; s. 21, ch. 69-353; s. 18, ch. 86-163; s. 4, ch. 2005-207, s. 1, ch. 2009-194.

257.14 Division of Library and Information Services; rules. – The Division of Library and Information Services has authority to adopt rules pursuant to ss. 120.536(1) and 120.54 to implement the provisions of this chapter.

History.—s. 2, ch. 61-402; s. 4, ch. 63-39; ss. 10, 35, ch. 69-106; s. 21, ch. 69-353; s. 3, ch. 83-24; s. 19, ch. 86-163; s. 46, ch. 98-200.

257.15 Division of Library and Information Services; standards. – The Division of Library and Information Services shall establish reasonable and pertinent operating standards under which libraries will be eligible to receive state moneys.

History.—s. 3, ch. 61-402; s. 4, ch. 63-39; ss. 10, 35, ch. 69-106; s. 21, ch. 69-353; s. 2, ch. 72-353; s. 3, ch. 83-24; s. 20, ch. 86-163.

Florida Administrative Code

1B-2.011 Library Grant Programs.

[will be replaced with most current language]